

**HARBOR STAR SHIPPING SERVICES, INC.**  
**2022 ANNUAL STOCKHOLDERS' MEETING**  
**13 July 2022 at 9:30 a.m. via remote communication**

**Guidelines for Participating via Remote Communication and Voting  
in Absentia and through Proxy**

A. Attendance by Remote Communication and Voting in Absentia

1. Stockholders intending to participate by remote communication and/or voting in absentia should notify the Office of the Corporate Secretary through a Letter of Intent (LOI) to be sent via e-mail to [asm-2022@harborstar.com.ph](mailto:asm-2022@harborstar.com.ph) or fill up the registration form at [www.harborstar.com.ph/asm2022registrationform](http://www.harborstar.com.ph/asm2022registrationform) on or before 28 June 2022, complete with the following requirements for validation purposes:

1.1 Indicate the following required information:

- 1.1.1 Complete Registered Name
- 1.1.2 Complete Registered Residential/Mailing Address
- 1.1.3 Active e-Mail Address
- 1.1.4 Active Mobile No.
- 1.1.5 Active Landline No.

1.2 Attach the following documents (e-copy/scanned copy):

- 1.2.1 Valid government-issued ID with photo and signature (scanned front and back)
- 1.2.2 Proof of Ownership, such as, but not limited to, the following:
  - a) Stockholder's certificate (for certificated shares); or
  - b) Broker's certification (for scripless or uncertificated shares); or
  - c) Secretary's certificate for authorized representative (for corporate)

1.2.3 Other supporting document, as applicable

2. The Office of the Corporate Secretary shall forward the email containing the LOI and its attachments to the Company's stock transfer agent, Rizal Commercial Banking Corporation. The validation process will be completed by the stock transfer agent no later than three (3) business days from its receipt of the LOI. The Company's stock transfer agent reserves the right to request for additional information and documents, as needed/necessary. Moreover, electronic signature

for the required documents shall be allowed while notarization requirement shall not be considered for the meantime, as applicable, given the current situation.

3. Once validated/verified, a stockholder shall be provided a confirmation correspondence with secure links for virtual meeting registration and voting in absentia. For security purposes, the confirmation correspondence which includes access credentials, links and instructions for participation through remote communication and voting in absentia shall only be sent to the stockholder's email address, and if necessary, notification shall be sent to the stockholder's mobile number provided.

3.1 A verified stockholder shall have to access the corresponding link in order to be able to join the virtual meeting on the ASM day.

3.2 A verified stockholder may cast his vote on each of the agenda items as contained in the link included in the confirmation correspondence. Deadline to vote in absentia through its corresponding link is on 04 July 2022. Beyond this date, stockholders may no longer avail of the option to vote in absentia. The Office of the Corporate Secretary shall then tabulate all votes, including those casts in absentia and by proxy, to be assisted by the Company's stock transfer agent, Rizal Commercial Banking Corporation. The Corporate Secretary shall report the results of voting during the meeting. For information on counting and tabulation of votes, please refer to "Item 19. Voting Procedures" of the Information Statement.

#### B. Attendance by Proxy

1. In case a stockholder cannot attend the virtual meeting and wishes to be represented, said stockholder shall designate an authorized representative ("Proxy") by submitting a duly-accomplished proxy instrument which may be downloaded at [www.harborstar.com.ph/asm2022proxyform](http://www.harborstar.com.ph/asm2022proxyform) on or before 28 June 2022 via email to [asm-2022@harborstar.com.ph](mailto:asm-2022@harborstar.com.ph), complete with the following requirements for validation purposes:

1.1 For the stockholder, attach the following documents (e-copy):

1.1.1 Valid government-issued ID (with photo)

1.1.2 Proof of Ownership, such as, but not limited to, the following:

- a) Stockholder's certificate (for certificated shares); or
- b) Broker's certification (for scripless or uncertificated shares); or
- c) Secretary's certificate for authorized representative (for corporate)

1.1.3 Other supporting document, as applicable

1.2 For the Proxy, attach the following document (e-copy):

1.2.1 Valid government-issued ID (with photo)

1.3 A stockholder may designate the Chairman of the Meeting as Proxy. Likewise, if no name is indicated, the Chairman of the Meeting will act as the Proxy.

2. The Office of the Corporate Secretary shall forward the email containing the duly-accomplished proxy instrument and its attachments to the Company's stock transfer agent, Rizal Commercial Banking Corporation. The validation process will be completed by the stock transfer agent no later than three (3) business days from its receipt of the duly-accomplished proxy instrument. The Company's stock transfer agent reserves the right to request for additional information and documents, as needed/necessary. Moreover, electronic signature for the required documents shall be allowed while notarization requirement shall not be considered for the meantime, as applicable, given the current situation.
3. Once validated/verified, a stockholder shall be provided a confirmation correspondence with secure links for virtual meeting registration. For security purposes, the confirmation correspondence which includes links and instructions for participation through remote communication shall only be sent to the stockholder's email address, and if necessary, notification shall be sent to the stockholder's mobile number provided. It is the duty of the stockholder to securely provide the information on access credentials and instructions to the Proxy.

C. Participation and Determination of Quorum and Votes

1. Only those shareholders who have notified the Company of their intention to participate in the Meeting by remote communication, together with the stockholders who voted in absentia and by proxy, will be included in the determination of quorum at the meeting. By participating remotely and by proxy, a stockholder shall be deemed present for purposes of quorum.
2. Due to logistical limitations of the meeting conducted virtually, voting and open forum/discussion will not be possible during the virtual meeting. However, a stockholder, once verified/ registered, will be given an opportunity to raise any relevant questions or express an appropriate comment limited to the agenda items by sending an email to [asm-2022@harborstar.com.ph](mailto:asm-2022@harborstar.com.ph) not later than 06 July 2022 to be properly noted and addressed accordingly. Any relevant questions or comments received by the Office of the Secretary via email within the prescribed period given by registered stockholders shall be properly acknowledged, noted and addressed accordingly. Questions and comments not taken up during the meeting shall be addressed directly via email by the Company.

3. The Office of the Corporate Secretary shall take down minutes of the meeting accordingly and shall note all comments and other relevant matters discussed covering the agenda of the meeting. The meeting proceedings shall be recorded in audio and video format to be safekept by the Office of the Corporate Secretary and shall be made available in a secured manner to stockholders upon request by sending an email to [asm-2022@harborstar.com.ph](mailto:asm-2022@harborstar.com.ph).
  
4. The Office of the Corporate Secretary shall ensure confidentiality of all votes for tabulation, including those casts in absentia and by proxy, with assistance from the Company's stock transfer agent, Rizal Commercial Banking Corporation. The Corporate Secretary shall report the results of voting during the meeting. For information on counting and tabulation of votes, please refer to "Item 19. Voting Procedures" of this Information Statement.

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For ASM-related matters, please go to [http://www.harborstar.com.ph/investors/pse\\_disclosures](http://www.harborstar.com.ph/investors/pse_disclosures). For ASM-related queries, please send an email to [asm-2022@harborstar.com.ph](mailto:asm-2022@harborstar.com.ph). For account updating/validation concerns, please get in touch with the Company's Stock Transfer Agent, Rizal Commercial Banking Corporation (Attention: Antonio B. Madrid, Jr. or Larine Mari B. Vida), via email to [abmadrid@rbc.com](mailto:abmadrid@rbc.com) or [LBVida@rbc.com](mailto:LBVida@rbc.com).